

Quarterly Restraint & Seclusion Training Report

This report is due to each Area Agency 15 days following the end of each quarterly reporting period (i.e. Jan 15, April 15, July 15, Oct 15).

This report should include data on any staff that performed unauthorized restraints or seclusion during the reporting period.

Please note that this report is required by all 10 Area Agencies in order to meet BDS/ CMS expectations.

Vendor Agency: YOUR AGENCY NAME
 Reporting Period: FY23 Q?
 Region: REGION 5

| Employee/ Provider Name: | Restraint Training Date: | Restraint Training Type (e.g. MOAB): | Worked with Individual(s) that had HRC approved Plan? | Trained on Bx Plan(s)? | Describe Corrective Action (if Needed): | <i>Notations: It is helpful to attach - but at minimum maintain documentation on file at your agency should it be needed</i> |
|--|--------------------------|--------------------------------------|---|------------------------|---|--|
| <i>If unauthorized restraints occurred</i> | | | | | | |
| John Smith | 3/6/2022 | Safety-Care | Yes | No | Trained on Behavior Plan by supervisor on 9/1/22. | <i>Copies of training documentation, sign in sheets, initial & recert certificates, incident report, etc.</i> |
| Mary Popping | n/a | n/a | No | N/A | Individual unexpectedly ran into busy roadway, staff physically escorted to safe area. Team will monitor to see if behavior plan is necessary | <i>Incident report</i> |
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| <i>***If there were no unauthorized restraints during this period - make note of that by entering...</i> | | | | | | |
| <i>No unauthorized restraints or interventions occurred during this period</i> | | | | | | |
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Unauthorized Restraint/ Seclusion Definition- Any unapproved physical, chemical or mechanical intervention that is used to control acute, episodic behavior that restricts the movement or function of the individual or a portion of the individual’s body, or the involuntary confinement of an individual alone in a room or an area from which the individual is physically prevented from having contact with others and/ or leaving (e.g. physical intervention occurred, door locked, mechanical device/ barrier). Chemical interventions are reported only on unauthorized administration or emergency use by medical practitioner, not PRN's authorized in bx plan or for anxiety.

Examples of unauthorized restraints- Physically stopping an individual from running out into the road, when they don't have an approved bx plan or when staff isn't restraint trained. Physically stopping an individual from hitting themselves or others with their fist or object, when they don't have an approved bx plan or when staff isn't restraint trained. Physically stopping the individual from eating raw chicken, when they don't have an approved bx plan or when staff isn't restraint trained.

Examples to unauthorized seclusion: Physically blocking an individual from leaving their bedroom or their house/ a building, when they don't have an approved bx plan.